

# Speech and Language Processing

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Regular Expressions and  
Automata

Chapter 2 of SLP

# Regular Expressions and Text Searching

- Everybody does it
  - ♦ emacs, vi, grep, sed, Perl, Python, Ruby, Java etc.
- Regular expressions are a compact textual representation of a set of strings representing a language.
- Example web page:
  - ♦ <http://rubular.com/>

# Example

- Regular expression search requires a **pattern** that we want to search for and a **corpus** of text to search through.
- Find all the instances of the word “the” in a text.
  - ♦ `/the/`
  - ♦ `/[tT]he/`
  - ♦ `/\b[tT]he\b/`

# Errors

- The process we just went through was based on **fixing two kinds of errors**
  - ◆ Matching strings that we should not have matched (**there, then, other**)
    - **False positives (Type I)**
  - ◆ Not matching things that we should have matched (**The**)
    - **False negatives (Type II)**

# Errors

- We'll be telling the same story for many tasks, all semester. Reducing the error rate for an application often involves two **antagonistic** efforts:
  - ♦ Increasing accuracy, or precision, (minimizing false positives)
  - ♦ Increasing coverage, or recall, (minimizing false negatives).

# Range, negation and optionality

- `/[A-Z]/` an upper case letter
- `/[a-z]/` a lower case letter
- `/[0-9]/` a single digit
- `/[^A-Z]/` not an upper case letter
- `/[^\.]/` not a period
- `/colou?r/` color or colour

# Kleene \* and +

- $/s+/$  one or more occurrences of  $s$
- $/[0-9]+/$  a sequence of digits
- $/s^*/$  zero or more occurrences of  $s$
- $/[0-9][0-9]^*/$  a sequence of digits

# Anchors

- Special characters that anchor regular expressions to particular places in a string
- `/^/` matches the start of a line
- `/$/` matches the end of a line
- `/^T/` matches what?
- `/\.$/` matches what?



# Disjunction and Grouping

- Disjunction operator
  - ♦ `/cat|dog/` matches cat or dog
- Grouping
  - ♦ `/gupp(y|ies)/`
    - Matches guppy or guppies

# Advanced operators

- $\text{/}\backslash\text{d/}$  =  $\text{/}[0-9]\text{/}$
- $\text{/}\backslash\text{D/}$  =  $\text{/}[^0-9]\text{/}$
- $\text{/}\backslash\text{w/}$  =  $\text{/}[a-zA-Z0-9\_]\text{/}$
- $\text{/}\backslash\text{W/}$  =  $\text{/}[^{\backslash\text{w}}]\text{/}$
- $\text{/}\backslash\text{s/}$  =  $\text{[}\backslash\text{r}\backslash\text{t}\backslash\text{n}\backslash\text{f}\text{]}$  (white space)
- $\text{/}\backslash\text{S/}$  =  $\text{/}[^{\backslash\text{s}}]\text{/}$

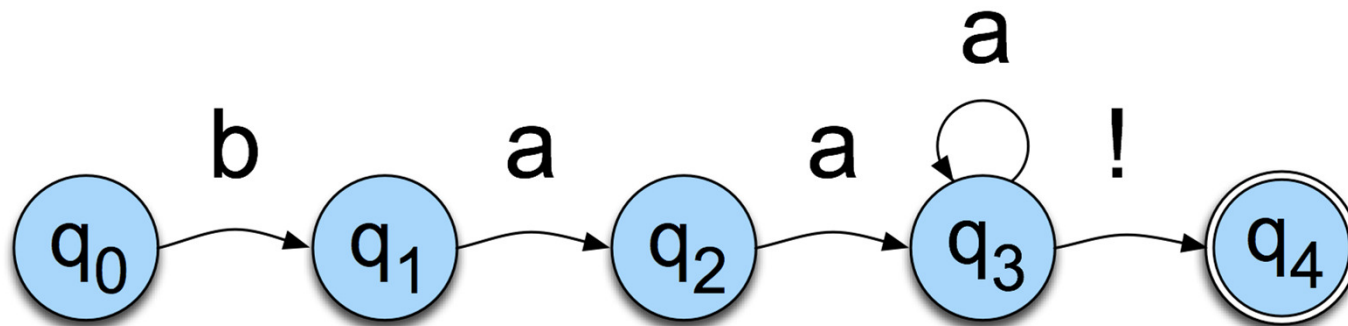
# Finite State Automata

- Regular expressions can be viewed as a textual way of specifying the structure of finite-state automata (FSA).
- Regular expressions can be implemented with FSAs.
- FSAs and their probabilistic relatives are at the core of much of what we'll be doing all semester.
- They also capture significant aspects of what linguists say we need for **morphology** and parts of **syntax**.

# FSAs as Graphs

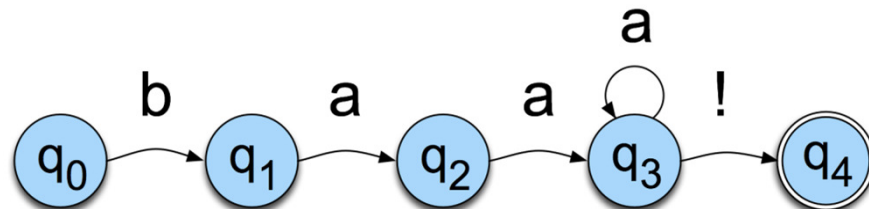
- Let's start with the sheep language from Chapter 2

♦ `/baa+!/`



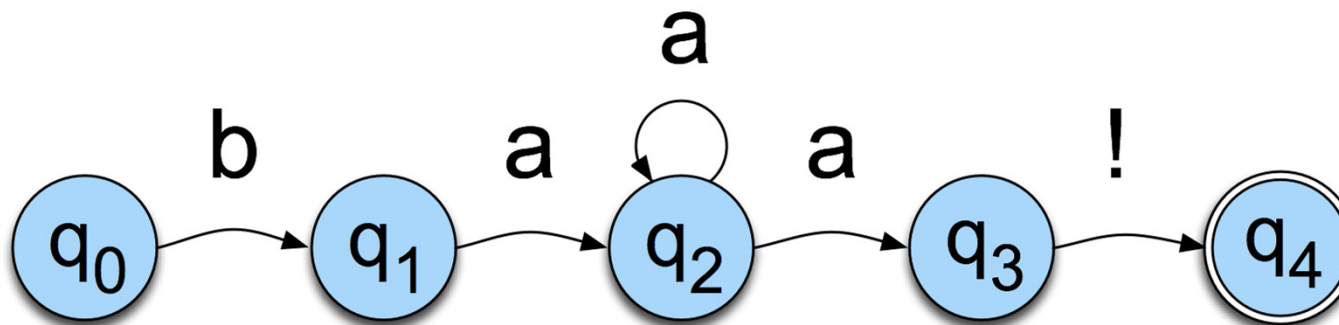
# Sheep FSA

- We can say the following things about this machine
  - ♦ It has 5 states
  - ♦ **b**, **a**, and **!** are in its alphabet
  - ♦  $q_0$  is the start state
  - ♦  $q_4$  is an accept state
  - ♦ It has 5 transitions



# But Note

- There are other machines that correspond to this same language



- More on this one later

# More Formally

- We can specify an FSA by enumerating the following things.
  - ♦ The set of states:  $Q$
  - ♦ A finite alphabet:  $\Sigma$
  - ♦ A start state
  - ♦ A set  $F$  of accept/final states
  - ♦ A transition function that maps  $Q \times \Sigma$  to  $Q$

# The sheeptalk automaton

- $Q = \{q_0, q_1, q_2, q_3, q_4\}$
- $\Sigma = \{a, b, !\}$
- $F = \{q_4\}$
- $\delta(q, i) =$

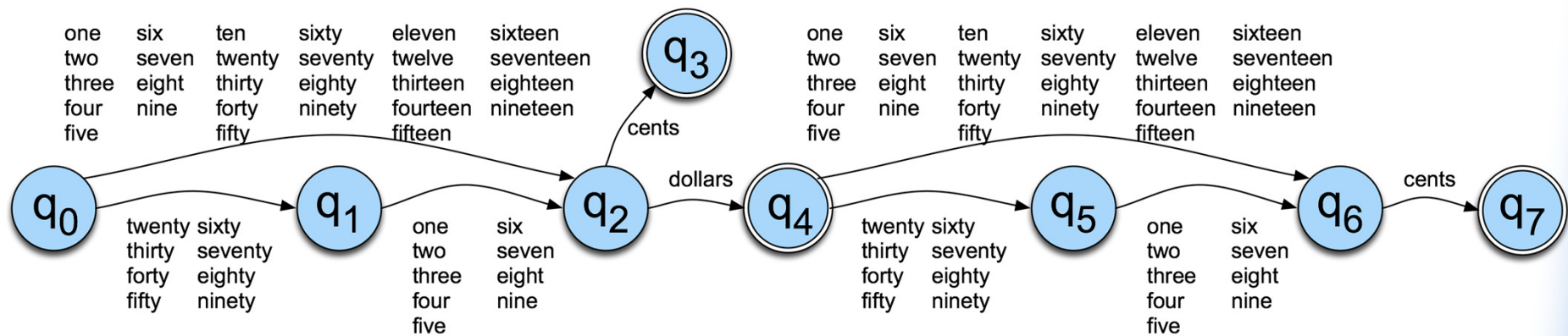
State/ Input	b	a	!
0	1	$\emptyset$	$\emptyset$
1	$\emptyset$	2	$\emptyset$
2	$\emptyset$	3	$\emptyset$
3	$\emptyset$	3	4
4:	$\emptyset$	$\emptyset$	$\emptyset$



# About Alphabets

- Don't take term *alphabet* word too narrowly; it just means we need a finite set of symbols in the input.
- These symbols can and will stand for bigger objects that can have internal structure.

# Dollars and Cents



# Recognition

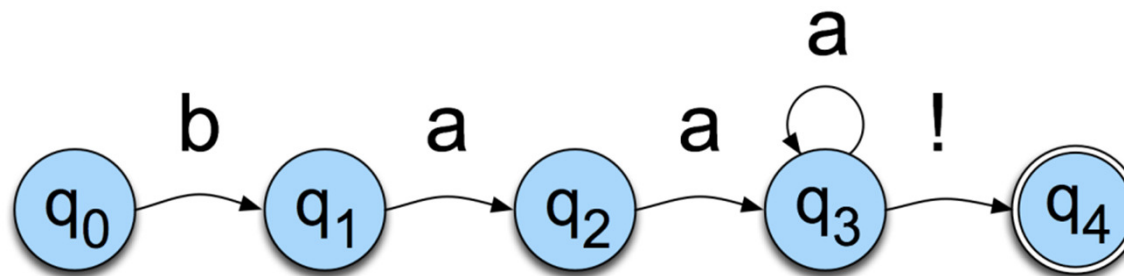
- Recognition is the process of determining if a string should be accepted by a machine
- Or... it's the process of determining if a string is in the language we're defining with the machine
- Or... it's the process of determining if a regular expression matches a string
- Those all amount the same thing in the end

# Recognition

- Simply a process of starting in the start state
- Examining the current input
- Consulting the table
- Going to a new state and updating the input pointer.
- Until you run out of input.

# Deterministic (Finite-state) Automaton (DFA)

- The behavior during recognition is fully **determined** by the state it is in and the symbol it is looking at.



# Deterministic recognition

- Input: a string  $x$  ending with EOF. DFA,  $D$ , with start state  $q_0$  and a set,  $F$ , of final states.
- Output: true if  $D$  recognizes  $x$ , otherwise false.

```
q = q0
c = nextchar();
while (c <> EOF) {
    q = move(q, c); // returns the state to which the
                   // automaton moves
                   // from state q on input c
    c = nextchar();
}
if q ∈ F then return true
else return false;
```

# Key Points

- Deterministic means that at each point in processing there is always one unique thing to do (no choices).
- D(eterministic)-recognize is a simple table-driven interpreter
- The algorithm is universal for all unambiguous regular languages.
  - ♦ To change the machine, you simply change the table.

# Key Points

- Crudely therefore... matching strings with regular expressions (ala Perl, grep, vi, etc.) is a matter of
  - ♦ translating the regular expression into a machine (a table) and
  - ♦ passing the table and the string to an interpreter



# Generative Formalisms

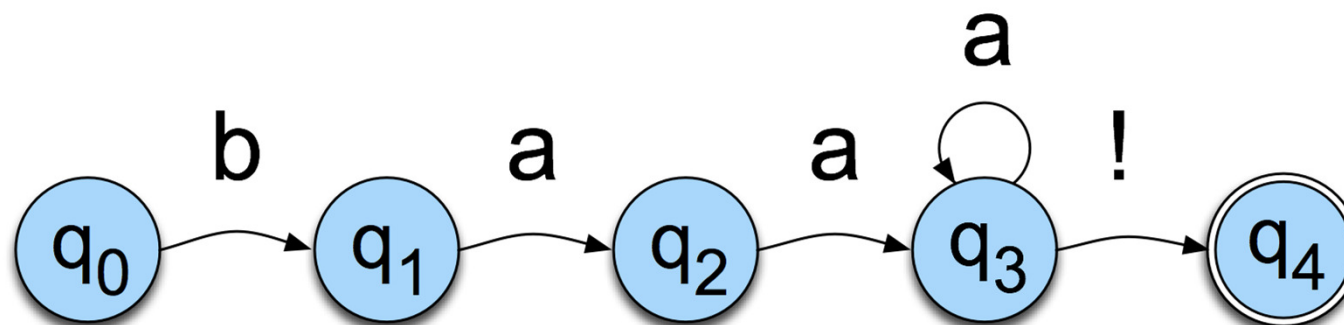
- *Formal Languages* are sets of strings composed of symbols from a finite set of symbols.
- Finite-state automata define formal languages (without having to enumerate all the strings in the language)
- The term *Generative* is based on the view that you can run the machine as a generator to get strings from the language.

# Generative Formalisms

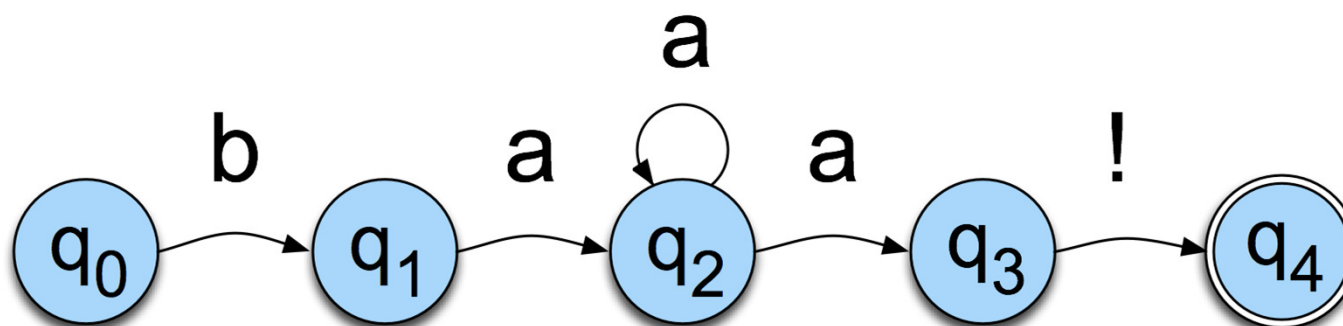
- FSAs can be viewed from two perspectives:
  - ♦ Acceptors that can tell you if a string is in the language
  - ♦ Generators to produce *all and only* the strings in the language

# Non-Deterministic FSA (NFA)

DFA

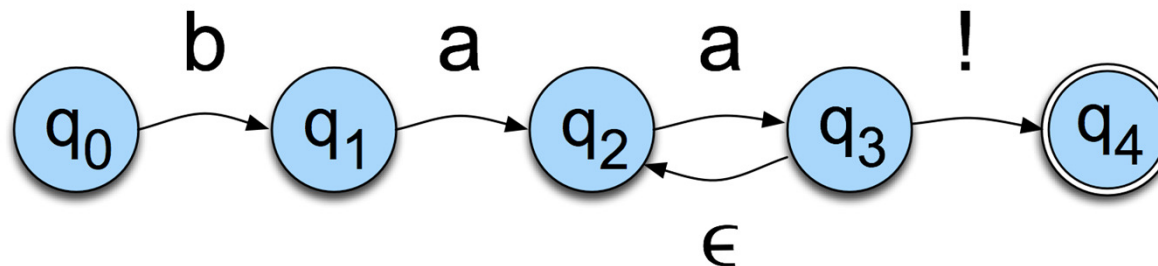


NFA



# Non-Determinism cont.

- Yet another technique
  - ♦ Epsilon transitions ( $\epsilon$ -transitions)
  - ♦ Key point: these transitions do not examine or advance the input during recognition



# Equivalence

- Non-deterministic machines can be converted to deterministic ones with a fairly simple construction
- That means that they have the same power; non-deterministic machines are not more powerful than deterministic ones in terms of the languages they can accept

# NFA Recognition

- Two basic approaches (used in all major implementations of regular expressions, see Friedl 2006)
  1. Either take a NFA machine and convert it to a DFA machine and then do recognition with that.
  2. Or explicitly manage the process of recognition as a state-space search (leaving the machine as is).

# Non-Deterministic Recognition: Search

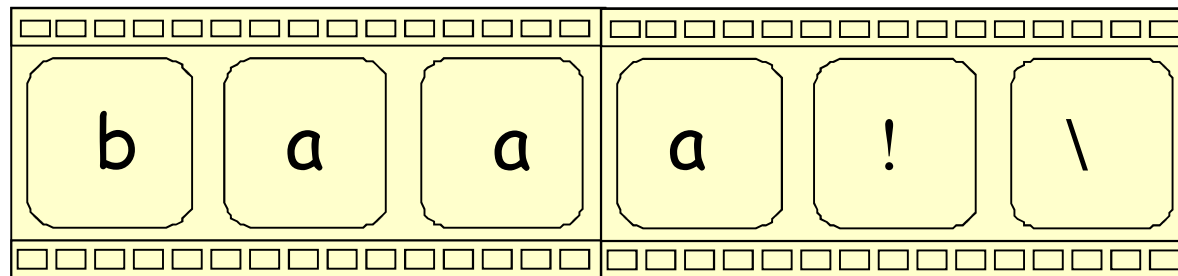
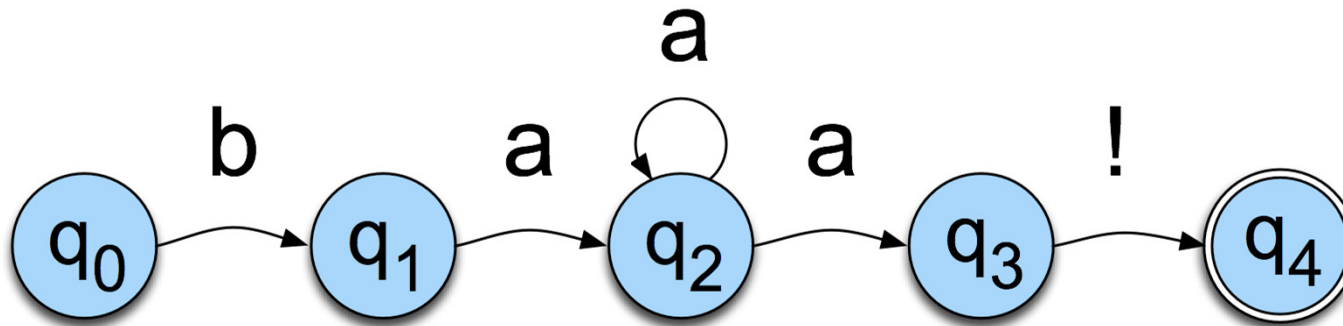
- In an NFA **there exists at least one path** through the machine for a string that is in the language defined by the machine.
- **But not all paths** directed through the machine for an accept string lead to an accept state.
- **No paths** through the machine lead to an accept state for a string not in the language.

# Non-Deterministic Recognition

- So **success** in non-deterministic recognition occurs when a path is found through the machine that ends in an accept.
- **Failure** occurs when **all** of the possible paths for a given string lead to failure.



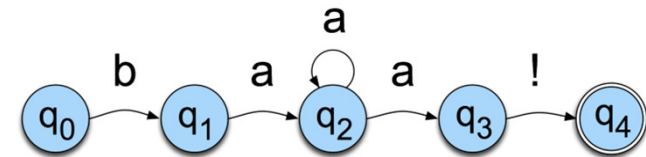
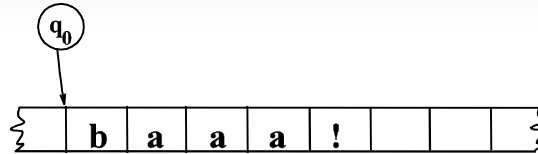
# Example



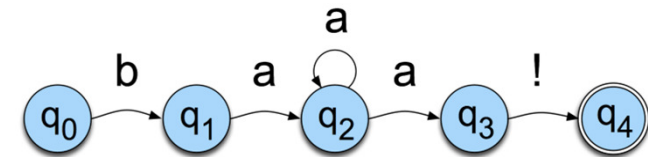
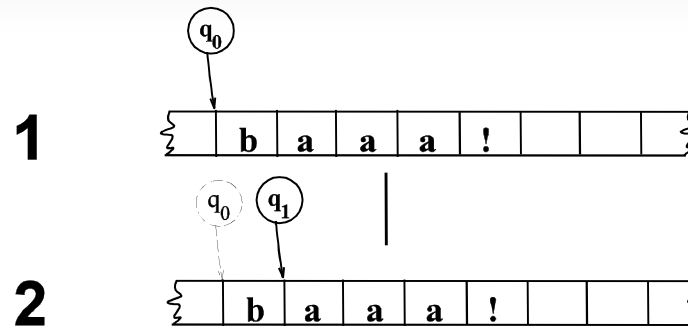
$q_0$        $q_1$        $q_2$        $q_2$        $q_3$        $q_4$

# Example

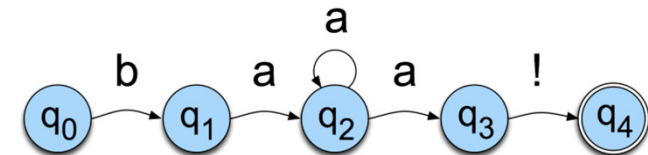
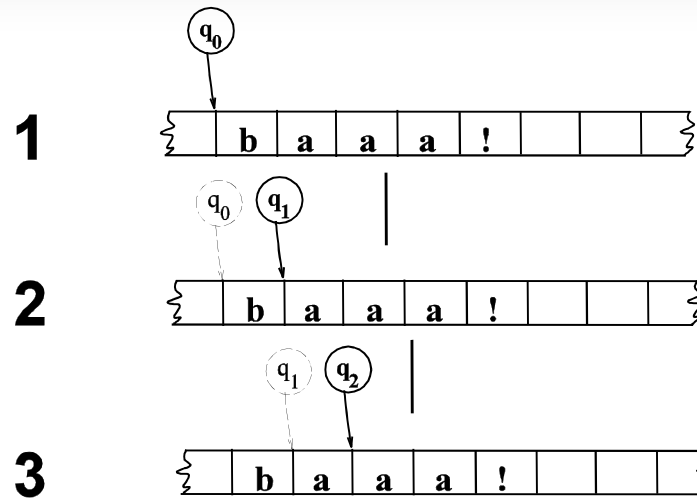
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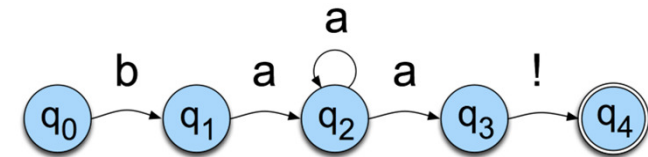
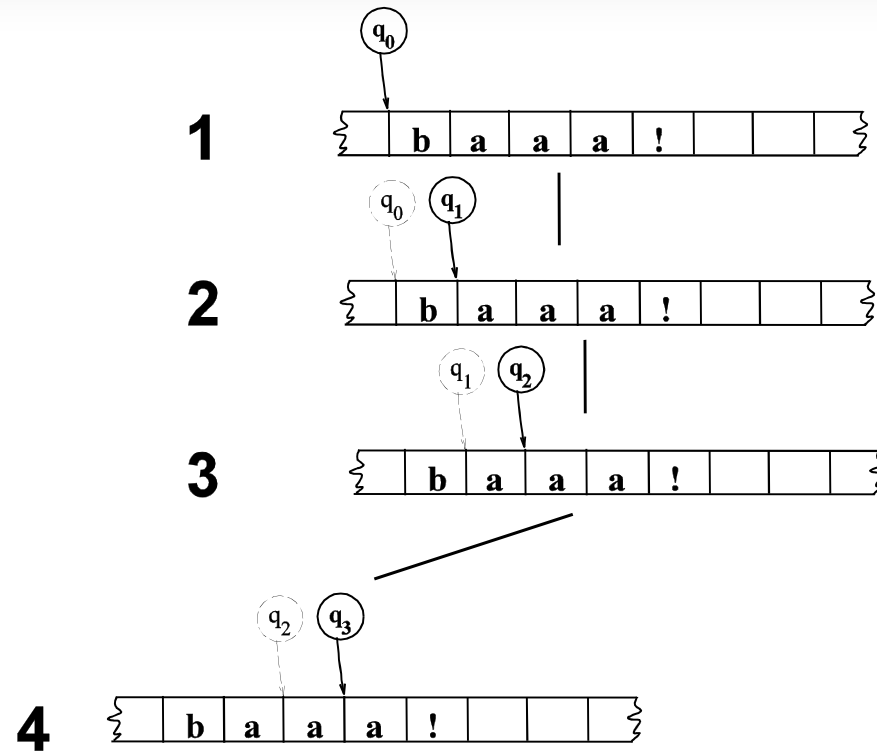
# Example



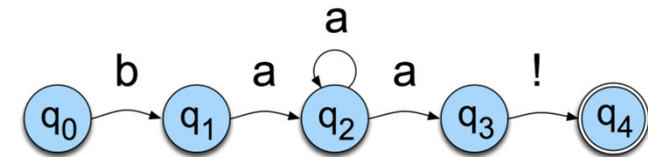
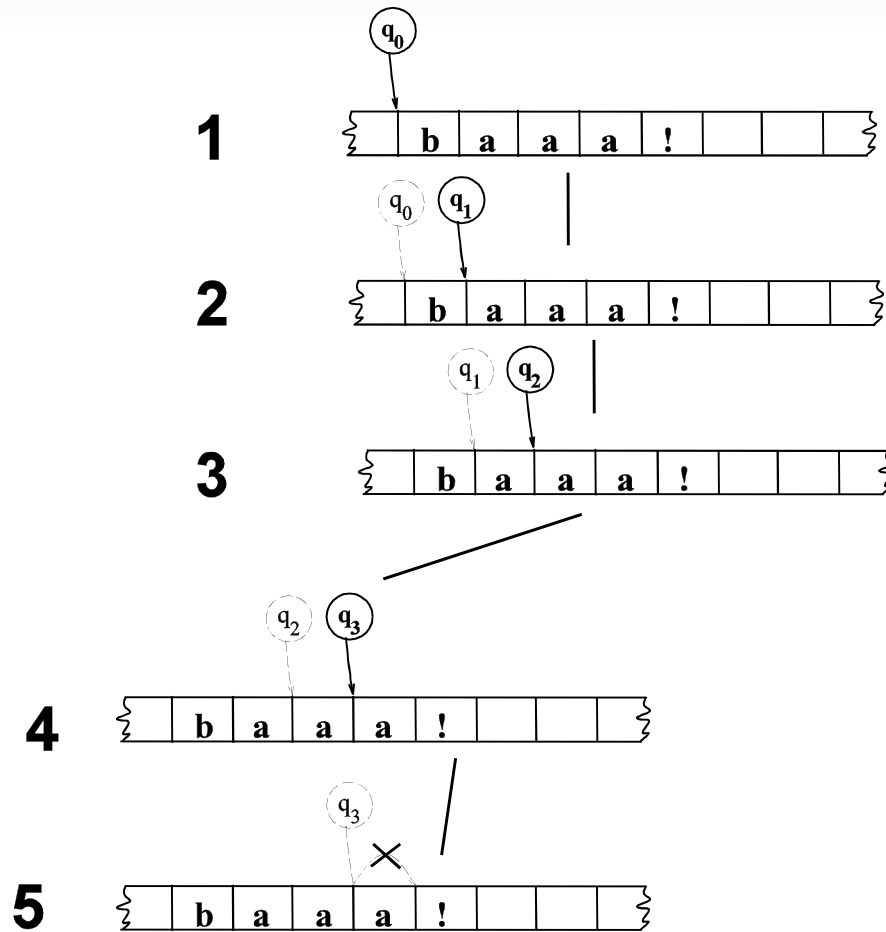
# Example



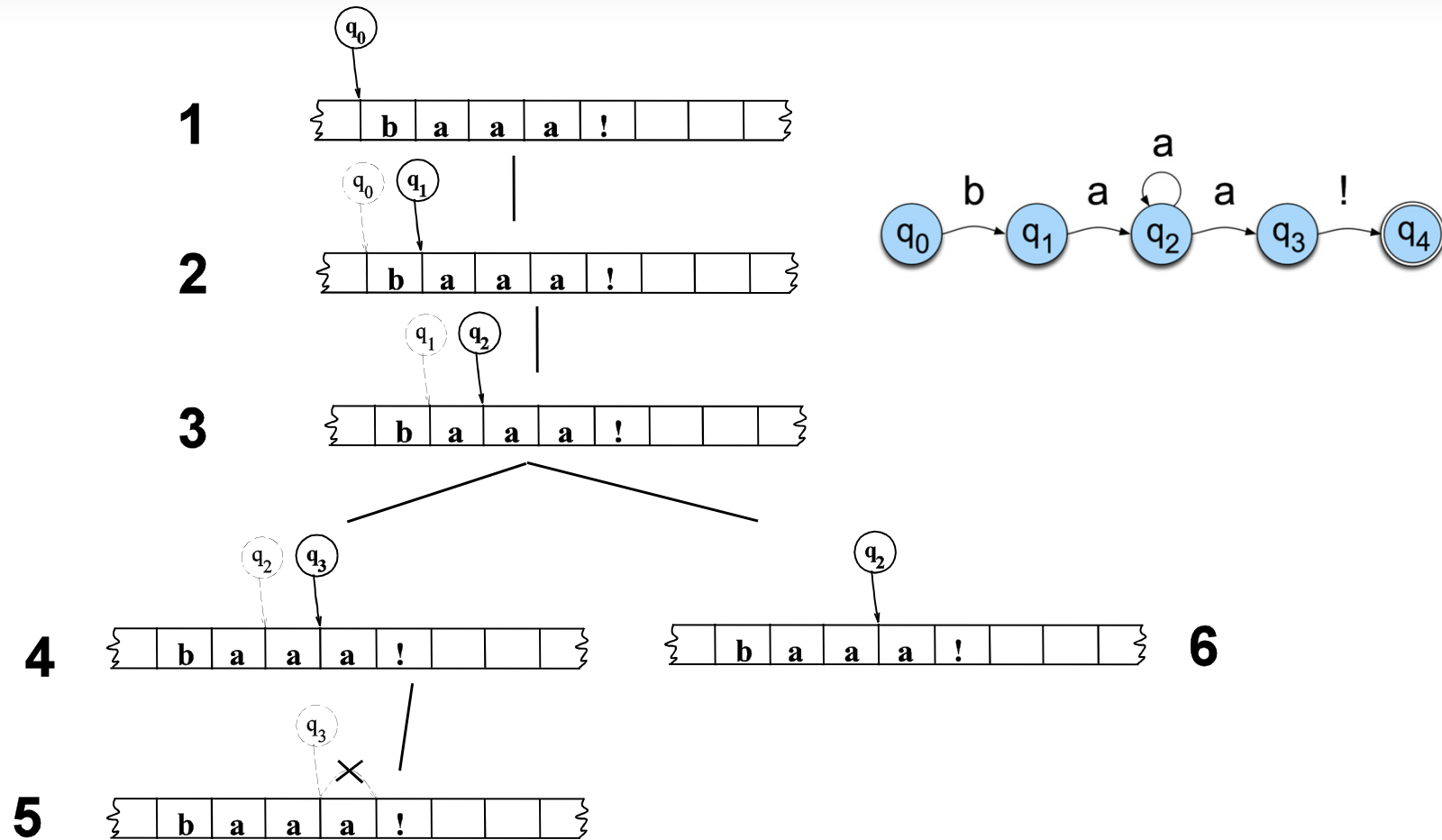
# Example



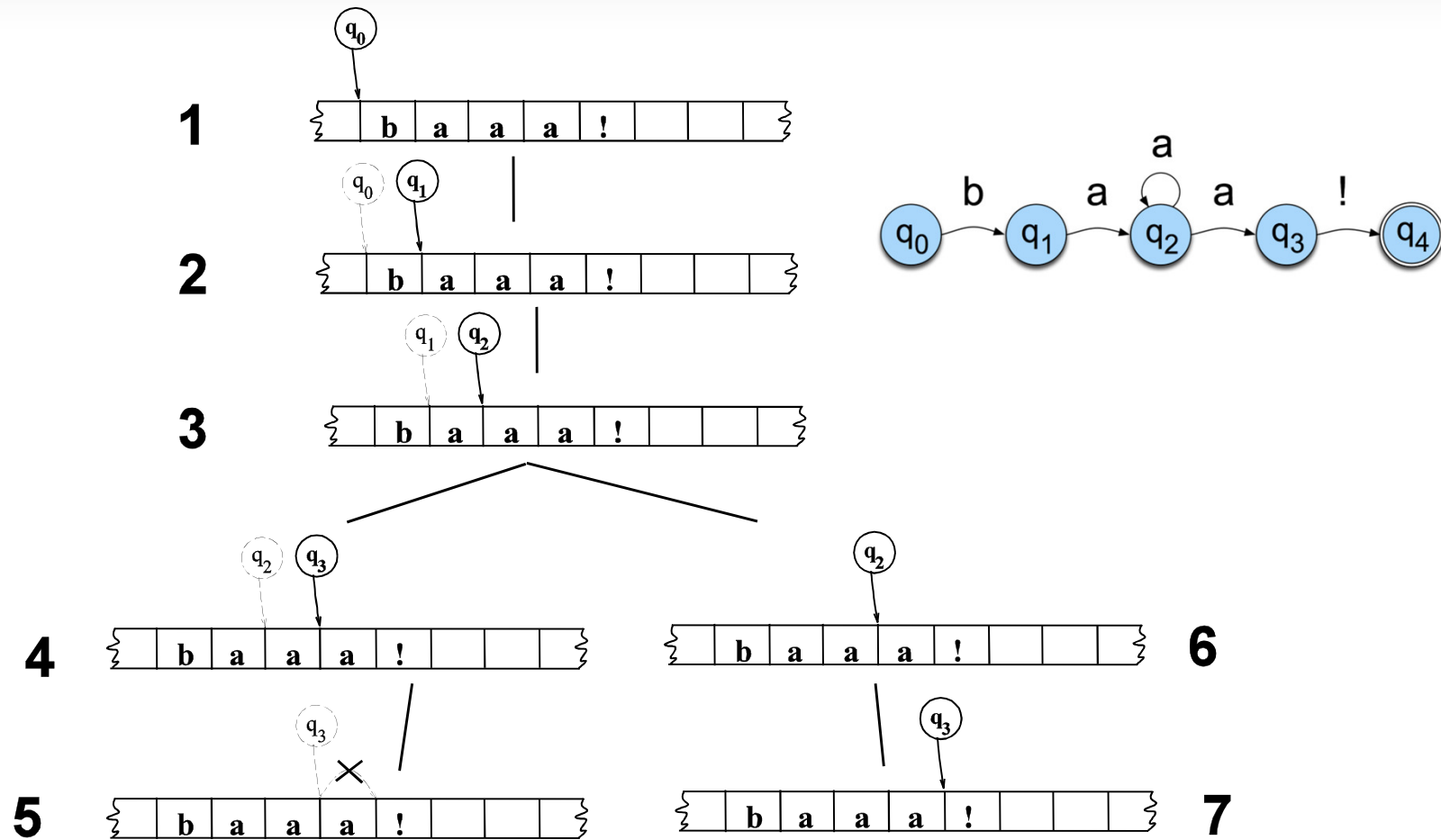
# Example



# Example

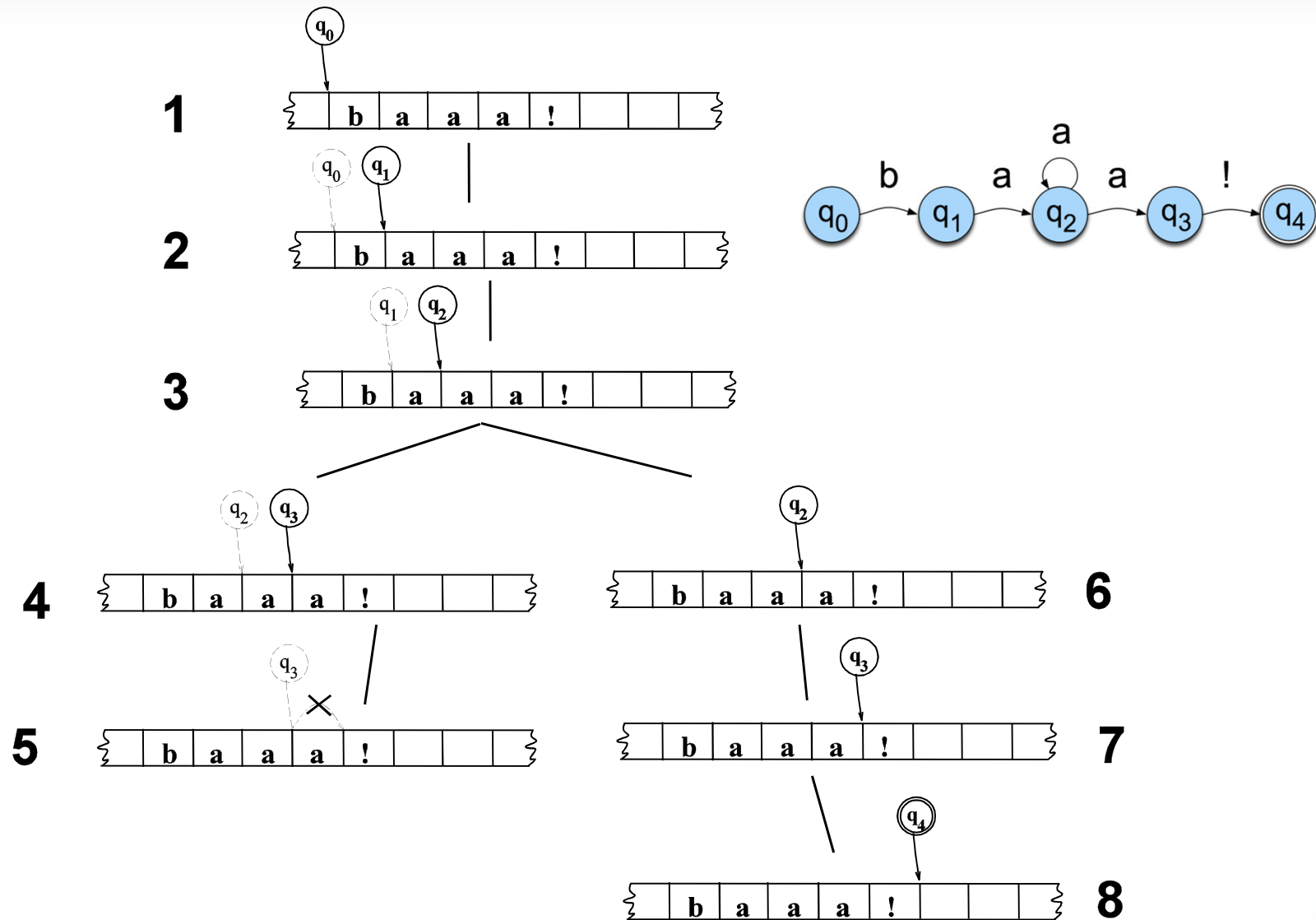


# Example





# Example



# Key Points

- States in the search space are **pairings of input positions and states** in the machine.
- By keeping track of **as yet unexplored states**, a recognizer can systematically explore all the paths through the machine given an input.

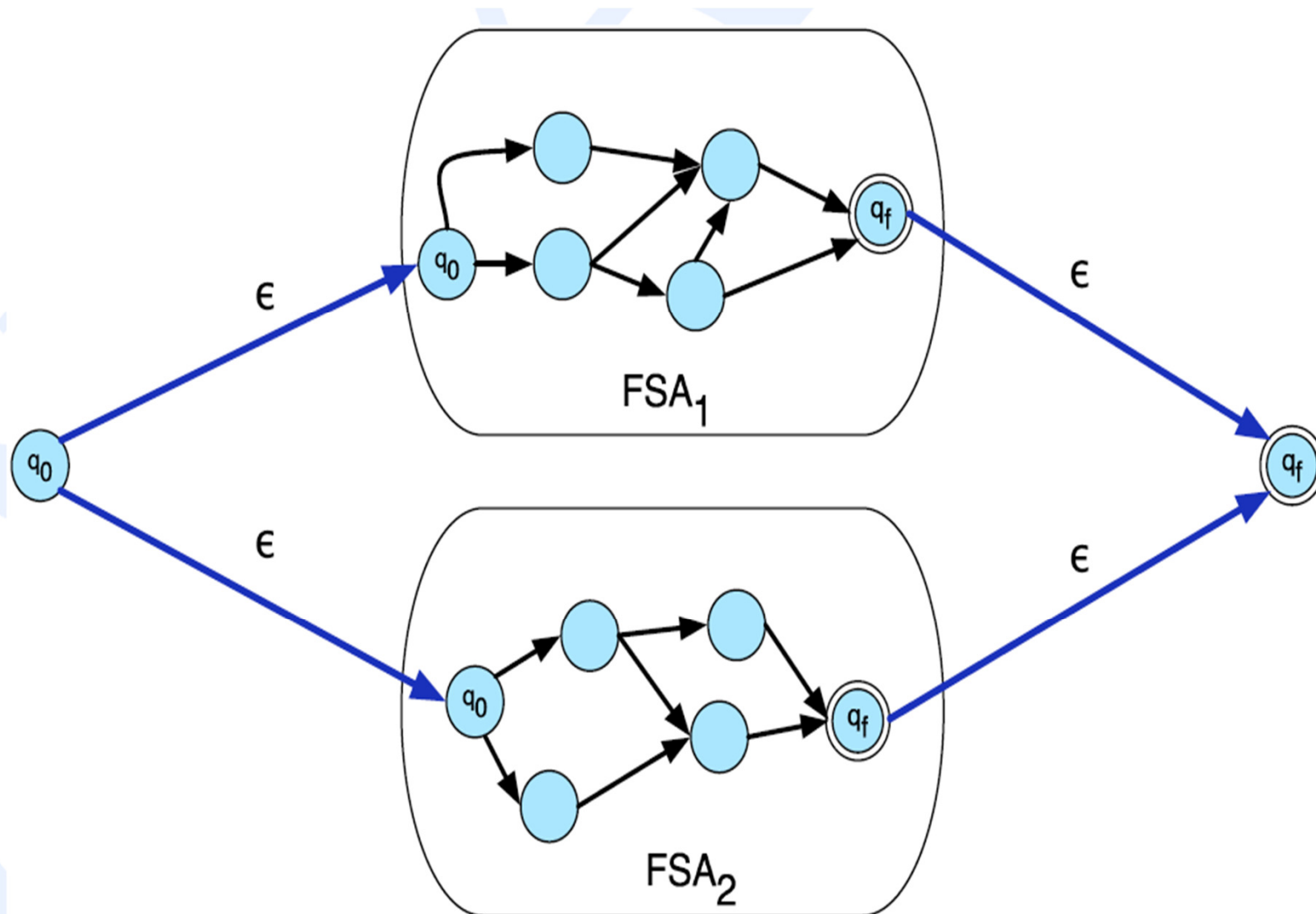
# Why Bother?

- Non-determinism doesn't get us more formal power and it causes headaches so why bother?
  - ♦ More natural (understandable) solutions
  - ♦ Regular expressions can (easily) be converted automatically to an NFA

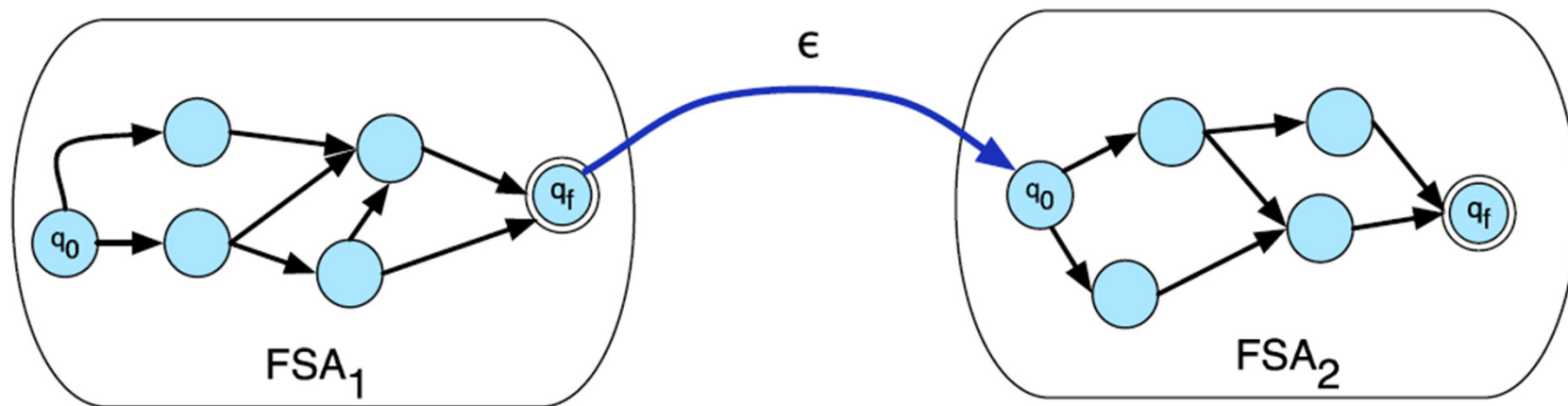
# Compositional Machines

- Formal languages are just **sets** of strings
- Therefore, we can talk about various **set operations** (intersection, union, concatenation)
- This turns out to be a useful exercise

# Union



# Concatenation



# Negation

- Construct a machine M2 to accept all strings not accepted by machine M1 and reject all the strings accepted by M1
  - ♦ Invert all the accept and not accept states in M1
- Does that work for non-deterministic machines?